

1 Amend 17 Cal. Code of Regs. section 10000 to read:

2 **§ 100000. Conflict of Interest Code - CIRM.**

3 (a) The Political Reform Act, Government Code sections 81000, et seq., requires state  
4 and local government agencies to adopt and promulgate Conflict-of-Interest Codes. The Fair  
5 Political Practices Commission has adopted a regulation, 2 California Code of Regulations  
6 section 18730, which contains the terms of a standard Conflict-of-Interest Code, which can be  
7 incorporated by reference, and which may be amended by the Fair Political Practices  
8 Commission to conform to amendments in the Political Reform Act after public notice and  
9 hearings. Therefore, the terms of 2 California Code of Regulations section 18730 and any  
10 amendments to it duly adopted by the Fair Political Practices Commission, along with the  
11 attached Appendices in which officials and employees are designated and disclosure categories  
12 are set forth, are hereby incorporated by reference and constitute the Conflict of Interest Code of  
13 the California Institute for Regenerative Medicine (“CIRM”).

14 (b) The Board Members, including the Chairman of the Independent Citizens Oversight  
15 Committee, and President of CIRM must file their statement of economic interests electronically  
16 with the Fair Political Practices Commission.

17 (c) All other individuals holding designated positions must file their statements with  
18 CIRM. All statements must be made available for public inspection and reproduction under  
19 Government Code Section 81008.

20 Note: Authority cited: California Constitution, article XXXV; Section 125290.40, subd.(j),  
21 Health and Safety Code; Government Code Section 87300.

22 Reference: Government Code section 87300; Health and Safety Code section 125290.40.

**California Institute for Regenerative Medicine  
Conflict of Interest Code**

Appendix A

Designated Positions	Disclosure Category
<i>Independent Citizens' Oversight Committee</i>	
Chair, Independent Citizens' Oversight Committee	1
Vice Chair, Independent Citizens' Oversight Committee	1
Member, ICOC	1
Executive Assistant to the Vice Chair	4
<i>Office of the President</i>	
President and CEO	1
Senior Executive Assistant to the President and CEO	3
<i>Legal</i>	
General Counsel	1
Deputy General Counsel	1
Associate General Counsel	1
Director of Portfolio and Performance	1
Vice President, Portfolio and Performance	1
Contracts Administrator	4
Director of Portfolio Operations and Performance	2
<i>Translation and Discovery</i>	
Vice President, Translation and Discovery	1
Associate Director of Translation and Discovery	2
Science Officer	2
Senior Science Officer	2
Grants Management Officer	2
Executive Assistant and Event Coordinator	4
<i>CIRM Business Development</i>	
Director, Business Development	1
Science Officer	2
Senior Science Officer	2
<i>Application and Review</i>	
Vice President, Application and Review	1
Associate Director, Review	2
Senior Science Officer	2
Program Manager	2

*Therapeutics and Strategic Infrastructure*

Vice President of Therapeutics and Strategic Infrastructure	1
Senior Science Officer, Project Manager CAPS	2
Associate Director, Neuro/Ocular	2
Senior Science Officer	2
Associate Director, Blood and Cancer	2
Associate Director, Cellular Gene Therapy	2
Grants Management Specialist	2
Senior Science Officer	2
Grants Management Specialist I/II	2
Senior Officer for Medical & Ethical Standards	2
Associate Director, Therapeutics	2
Associate Director, Therapeutics & Scientific Associate Director of the Industry Alliance Program	2

*Finance and Administration*

Senior Director of Public Communications & Patient Advocate Outreach	1
Vice President, Administration	
Executive Assistant and Event Coordinator	3
IT Director	4
Web Site and Social Media Manager	3
Lead Architect (IT)	4
Systems Engineer	4
Senior Software Engineer IT	4
Contractor Computer Programmer	3
Multi-Media Editor	4
Human Resources Officer	3
Associate Director of Human Resources	3
Vice President, Finance	1
Supervising Financial Services Officer	3
Financial Services Officer	3
Office Manager	3
Associate Director, Communications	3
Office Manager, Accounting Specialist	3

*Consultants/New Positions* \*

\*With respect to consultants/new positions, the agency President may determine in writing that a particular consultant or new position, although a "designated position," is hired to perform a range of duties that is limited in scope and thus, is not required to comply fully with the disclosure requirements described in this section. Such determination shall include a description of the consultant's or new position's duties and, based upon that description, a statement of the extent of disclosure requirements. The agency President's determination is a public record and shall be retained for public inspection in the same manner and location as this conflict of interest code. (Government Code Section 81008.)

Designated Positions

Disclosure Category

*Independent Citizens' Oversight Committee*

<u>Chair</u>	<u>1</u>
<u>Vice Chair</u>	<u>1</u>
<u>Board Member</u>	<u>1</u>
<u>Senior Executive Assistant</u>	<u>3</u>

*Office of the President*

<u>President and CEO</u>	<u>1</u>
<u>Senior Science Officer</u>	<u>2</u>
<u>Senior Executive Assistant to the President</u>	<u>3</u>

*Legal*

<u>General Counsel</u>	<u>1</u>
<u>Associate General Counsel</u>	<u>1</u>

*Human Resources*

<u>Associate Director,</u>	<u>3</u>
<u>Human Resources Specialist</u>	<u>3</u>

*Grants Management*

<u>Director,</u>	<u>1</u>
<u>Associate Director,</u>	<u>2</u>
<u>Grants Management Specialist</u>	<u>2</u>

*Scientific Programs*

<u>Vice President</u>	<u>1</u>
<u>Associate Director</u>	<u>2</u>
<u>Senior Science Officer</u>	<u>2</u>

*CIRM Business Development*

<u>Director</u>	<u>1</u>
-----------------	----------

*Review and Portfolio*

<u>Vice President</u>	<u>1</u>
<u>Senior Science Officer</u>	<u>2</u>
<u>Project Manager</u>	<u>2</u>

Therapeutics Development

Vice President	1
Director	2
Associate Director	2
Senior Officer	2
Senior Science Officer	2
Science Officer	2
Senior Program Manager	2
Program Manager	2
Administrative Assistant	3

Public Outreach and Board Governance

Vice President	1
Associate Director, Board Relations	2
Director of Patient Advocacy	1
Media Relations Director	1
Director, Marketing and Communications	1
Communications Team Coordinator	3

Information Technology

Information Technology Director	4
Senior Programmer	4
Software Engineer	4

Finance

Director	1
Finance Officer	3
Business Services Officer	3

Consultants/New Positions

\*

\*With respect to consultants/new positions, the agency President may determine in writing that a particular consultant or new position, although a “designated position,” is hired to perform a range of duties that is limited in scope and thus, is not required to comply fully with the disclosure requirements described in this section. Such determination shall include a description of the consultant’s or new position’s duties and, based upon that description, a statement of the extent of disclosure requirements. The agency President’s determination is a public record and shall be retained for public inspection in the same manner and location as this conflict-of-interest code. (Government Code Section 81008.)

## Appendix B

### **Disclosure Categories**

#### **Category 1**

An individual holding a designated position shall report any:

Investments, business positions in business entities, and income (including receipt of gifts, loans and travel payments) and all interests in real property located in the state of California.

#### **Category 2**

An individual holding a designated position shall report any:

Investments, business positions in business entities and income (including receipt of gifts, loans and travel payments) if the business entity or source of income is of the type to receive grants or other monies from or through CIRM;

Investments, business positions in business entities and income (including receipt of gifts, loans and travel payments) if the business entity or the source of income is of the type to offer or provide facilities, services, goods or products purchased or used, or contracted for, by CIRM, and is providing or planning to provide such facilities, services, goods or products; and

Investments, business positions in business entities and income (including receipt of gifts, loans and travel payments) if the business entity or source of income is engaged in biomedical research or manufacturing biomedical pharmaceuticals.

#### **Category 3**

An individual holding a designated position shall report any:

Investments, business positions in business entities and income, (including receipt of gifts, loans and travel payments) if the business entity or source of income is engaged in biomedical research or manufacturing biomedical pharmaceuticals.

Investments, business positions in business entities and income (including receipt of gifts, loans and travel payments) if the business entity or the source of income is of the type to offer or provide facilities, services, goods or products purchased or used, or contracted for, by CIRM, and is providing or is planning to provide such facilities, services, goods or products.

#### **Category 4**

An individual holding a designated position shall report any:

Investments, business positions in business entities and income (including receipt of gifts, loans and travel payments) if the business entity or the source of income is of the type to offer or provide facilities, services, goods or products purchased or used, or contracted for, by CIRM, and is providing or is planning to provide such facilities, services, goods or products.